



DEPREF

LAW OFFICES

**SHERMAN & ASSOCIATES**  
PATENT TRADEMARK & COPYRIGHT CAUSES

415 NORTH ALFRED STREET  
ALEXANDRIA, VIRGINIA 22314

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October 16, 2006

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention: Deposit Account  
Refund Department

Re: Deposit Account No. 19-1980  
Account Holder: Sherman & Associates  
Serial No.: 10/713,102  
Filed: November 17, 2003  
Our Docket: S-2500/CONT

Dear Sir:

Our monthly deposit account statement dated July 31, 2006, a copy of which is attached, contains an improper charge.

On July 26, 2006, a charge of \$175.00 was made in connection with Serial No. 10/713,102, Fee Code 2202 (claims in excess of 20). This charge is improper inasmuch as the responsibility for this application was transferred to another firm on June 8, 2006, and the attorney filing the response on which the charge was based was not authorized to make charges to our deposit account.

Mr. Roger Hahn left the employ of Sherman & Associates effective May 17, 2006, and began his own firm, Hahn & Voight PLLC, USPTO Customer Number 62,479, located at 1012 14<sup>th</sup> Street, NW, Washington, D.C.

On June 8, 2006, following instructions from the applicant, the subject application was transferred to the firm of Hahn & Voight. Subsequently, on June 14, 2006, the Power of Attorney, previously granted to Sherman & Associates, was revoked. Notice of this action was mailed by the USPTO on June 22, 2006 (Exhibit A).

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Deposit Account  
Refund Branch  
October 16, 2006  
Page 2

Mr. Hahn filed a response to an outstanding Office Action on July 21, 2006, clearly noting his firm name, address and customer number. (Exhibit B, copy of Mr. Hahn's Transmittal Letter)

Inasmuch as Mr. Hahn was not a member of or employed by the firm of Sherman & Associates on July 21, 2006, he had no authorization to make any charges against our Deposit Account 19-1980 at that time. Accordingly, the charge posted against our account by the USPTO on July 26, 2006, is incorrect. Any such charge should have been made against the account of Hahn & Voight PLLC.

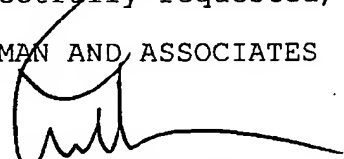
A refund of the \$175.00 incorrectly charged against Deposit Account 19-1980 is respectfully requested.

Furthermore, to avoid any further incorrect charges, any and all authorization to charge any fees in connection with Application Serial No. 10/713,102 to Deposit Account 19-1980 is hereby withdrawn.

Any questions on this matter may be directed to the undersigned.

Respectfully requested,

SHERMAN AND ASSOCIATES



Leonard W. Sherman

Enclosures  
LWS/rlh

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

11/19/2003 LMONDIN1 00000014 10713102

01 FC:2001 385.00 OP

Repln. Ref: 11/19/2003 LMONDIN1 0008215300  
App: 191980 Name/Number: 10713102  
C: 9204 \$145.00 CR

11/01/2006 SDIRETA1 00000011 10713102

01 FC:2203 145.00 OP

PTO-1556  
(5/87)

Document code: WFEE

United States Patent and Trademark Office  
Sales Receipt for Accounting Date: 07/26/2006

ASELLMAN SALE #00000002      Mailroom Dt: 07/21/2006 191980 10713102  
01 FC:2202                      175.00 DA

Adjustment date: 11/01/2006 SDIRETA1  
07/26/2006 ASELLMAN 00000002 191980 10713102  
01 FC:2202                      175.00 CR



# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make checks payable to "Director of the USPTO."

SHERMAN & ASSOCIATES  
415 N. ALFRED STREET  
ALEXANDRIA VA 22314

**FINA**

Account No.	191980
Date	7-31-06
Page	1

**PLEASE SEND REMITTANCES TO:  
U.S. Patent and Trademark Office  
P.O. Box 371279  
Pittsburgh, PA 15251-7279**

**Call the Deposit Account Branch at 571-272-6500 for assistance.**

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
7	19	06	1	2340789	DFASS	6205	7 100.00	1069.06
7	19	06	2	2340789	DFASS	6206	7 100.00	969.06
7	26	06	2	10713102	S-2500/CONT	2202	7 175.00	794.06
7	31	06	107	SERVICE CHARGE		9202	25.00	769.06
<div> AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT </div>								
				OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS		CLOSING BALANCE
				1169.06	400.00	0.00		769.06



UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/713,102	11/17/2003	Masafumi Kawase	S-2500/CONT

35777  
 SHERMAN & ASSOCIATES  
 415 NORTH ALFRED STREET  
 ALEXANDRIA, VA 22314

CONFIRMATION NO. 9782



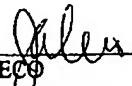
\*OC000000019383338\*

Date Mailed: 06/22/2006

**NOTICE REGARDING CHANGE OF POWER OF ATTORNEY**

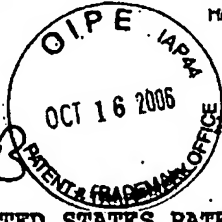
This is in response to the Power of Attorney filed 06/14/2006.

- The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

  
 YNEZ JALECO  
 PTOSS (703) 305-0677

FORMER ATTORNEY/AGENT COPY

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EXHIBIT

Attorney Docket No. S-2500/CONT  
MAIL STOP AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

In re Application of: ) Group Art Unit: 1712  
KAWASE; HORIGUCHI; KAWAHITO ) Examiner: M.G. Moore  
Serial No. 10/713,102 )  
Filed: November 17, 2003 )

JUL 21 2006

For: FLOW-AND-LEVELING AGENTS FOR WATERBORNE COATINGS

TRANSMITTAL LETTER

Commissioner for Patents  
Alexandria, VA 22314-1450


Sir:

Submitted herewith for filing in the U.S. Patent and Trademark  
Office is the following:

- (1) Transmittal Letter;
- (2) Response to Office Action; and
- (3) Appendix A.

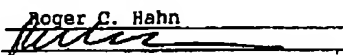
Respectfully submitted,

HAHN &amp; VOIGHT PLLC

  
Roger C. Hahn  
Reg. No. 46,376

Customer Number 62,479  
1012 14<sup>th</sup> Street, NW  
Suite 620  
Washington DC 20005  
(202) 637-0020

I hereby certify that this correspondence is deposited with the United States Postal  
Services with sufficient postage as first class mail in an envelope addressed to the  
Commissioner of Patent, P.O. Box 1450, Alexandria, VA 22313-1450 or transmitted via  
facsimile to the United States Patent and Trademark Office, FAX No. 571-273-8300 on

July 21, 2006  
Typed or printed name of person signing this certificate Roger C. Hahn  
Signature 

28 pages

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